APPLICATION

Excess & Umbrella Liability



| N | SECTION 1: AP | PLICANT INFORMATION | | | | |
|------------------|---|---------------------------|------------------|--------------|-------------|--|
| 1. | Name of Applicant (including subsidiaries): | | | | | |
| 2. | Principal: | | | | | |
| 3. | Mailing Addre | ss of Applicant: | | | | |
| 4. | Risk Location (| Legal Address): | | | | |
| 5. | Business Phon | e: Re | sidence Phone: | | Cell Phone: | |
| 6. | Email: | Fa | x: | Website: | | |
| 7. | Loss Payee & A | Address: | | | | |
| 8. | Additional Insu | ıred & Address: | | | | |
| | | | | | | |
| | SECTION 2: UI | NDERWRITING INFORMA | TION | | | |
| 1. | Company Stru | cture: 🔲 Individual 🔃 | Corporation Par | tnership 🔲 C | Other: | |
| 2. | Please describ | e company's operations: | | | | |
| | | | | | | |
| | | | | | | |
| | Are any opera | tions conducted outside o | of Canada? O Yes | No | | |
| | If yes , please o | describe: | | | | |
| 3. | Number of Yea | ars the Company has bee | n in Business: | | | |
| 4. | What are your | sales/revenues estimated | d for this year? | | | |
| | Canada: | US: | | Foreign: | | |
| | Past Sales/Rev | venues (last 3 years): | | | | |
| Year Canada US F | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |







Annual Revenue

5. PRODUCTS AND/OR OPERATIONS

Products or Related Groups of Products

Please describe products manufactured, sold, handled or distributed, along with estimated annual sales for each product per country:

| | (attach brochure |)) | | Canada | | U | S | Othe | r |
|------------|---|------------------|-------|----------------|---------|---------------|-------------|--------------|--------|
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | Have any products been disco | ntinued and/or r | ecal | lled in the pa | ıst 5 y | years? 🔘 Y | ′es | | |
| | If yes, please describe: | | | | | | | | |
| 6. | Are all companies listed, to be | covered by this | nsur | rance? OYe | s C | No | | | |
| | If no, please explain: | | | | | | | | |
| 7 . | SCHEDULE OF UNDERLYING IN | ISURANCE | | | | | | | |
| | Please list all policies to be exc | ess of: | | | | | | | |
| | Insurer | Policy # | P | Policy Period | Тур | e of Policy | Limits | Annual P | remium |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| 8. | Does the underlying CGL police losses? Yes No | y contain a "gen | eral | aggregate" | limit | for product | ts/complete | ed operation | S |
| | If yes , please list both the per o | occurrence limit | and | the general | aggr | egate limit | : | | |
| 9. | Does your primary CGL policy | cover the follow | ing e | exposures? | | | | | |
| | Products | | Yes | ○ No E | mplo | yees as Ins | ured | O Yes | O No |
| | Personal Injury | | Yes | ○ No C | ccur) | rence PD | | O Yes | O No |
| | Advertising | | Yes | O No To | enan | its Legal Lic | bility | O Yes | O No |
| | Protective | | Yes | ○ No N | on-c | wned Auto | | O Yes | O No |
| | Blanket Contractual | | Yes | ○ No N | on-c | owned Aircr | aft | ○ Yes | ○ No |
| | Employee Benefits Liability | | Yes | | | craft | | Yes | |
| | Professional/E&O | | Yes | | - | r Liability | | ○ Yes | _ |
| | XCU Hazards | _ | Yes | | - | yers Liabili | - | | _ |
| | Worldwide Coverage | | Yes | | | Fire Fightii | ng Expense | | _ |
| | Pollution Exclusion, specify: | | Yes | _ | | I Form PD | | ○ Yes | |
| | Pollution: Absolute, S&A, Hostil | e Fire, | Yes | ○ No D | eten | se Cost Exc | lusive | Yes | ○ No |
| | etc., describe: | | | | | | | | |



| 10. | 0. Does your policy coverage restrict cover to compensatory damages? O Yes O No | | | | | |
|--|--|---------------------------------------|--|---|--|--|
| 11. Does your policy have a sub-limit on any coverages? O Yes O No | | | | | | |
| | If ye | es , please describe: | | | | |
| 12. | 2. Is any coverage on the underlying policy(ies) subject to a deductible? Yes No | | | | | |
| | If ye | es , please describe: | | | | |
| 13. | Pled | ase provide details of an | y special or unusual exclusic | ons/restrictions in your primary policy: | | |
| 14. | AUT | TOMOBILE LIABILITY | | | | |
| | Pled | ase state the number an | d type of owned and/or leas | sed automobiles: | | |
| | Priv | vate Passenger: | Light Commercial: | Heavy Commercial: | | |
| | Trac | ctors: | Trailers: | Tankers: | | |
| | If ar | ny of the above are eng | aged in the following, please | e state the number and type: | | |
| | • | Long Haul (over 400 k | m/250 miles) Operations: | | | |
| | • | Operating into the US | : | | | |
| | • | | losives, Munitions, Corrosive Materials, or other Hazardo | s, Liquefied Petroleum Gasses (including Butane or ous Commodities: | | |
| | • | Transportation of Gas | oline and/or Fuel Oil: | | | |
| | • | Transportation of Fue | Oil only: | | | |
| | Do | underlying policies cove | r all these exposures? OYe | s O No | | |
| | If no | o, please advise exception | ons: | | | |
| 15. | WA | TERCRAFT LIABILITY | | | | |
| | | ase state each type of w hartered: | atercraft, the total number o | of each, their use and whether they are owned, leased | | |
| | 1 | Watercraft Type: | | | | |
| | | Total Number: | Ow | ned Leased Chartered | | |
| | | Use: | | | | |
| | | Water see of Transco | | | | |
| | 2 | Watercraft Type: | | nod Diagond D Chambarad | | |
| | | Total Number: | | ned Leased Chartered | | |
| | | ose. | | | | |
| | 3 | Watercraft Type: | | | | |
| | | Total Number: | | ned Leased Chartered | | |
| | | Use: | | | | |
| | | | | | | |







| | Do underlying policies of | over all these exposures? | Yes No | | |
|------------|---|---|-----------------------|---|--|
| | If no , please advise exce | eptions: | | | |
| 16. | RAILWAY LIABILITY | | | | |
| | Does the Applicant oper | rate an industrial railway? | ○ Yes ○ No | | |
| | If yes , please provide th | e length of track (in kms): | | | |
| | If yes, please also provi | de the following details: | | | |
| | Type of Rolling Stock | Quantity Owned | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | Number of Crossings with Warning Devices: | | | | |
| | Average Weekly Quantity of Non-Owned Rolling Stocks: | | | | |
| | Do locomotives owned by the Applicant operate on a mainline of a railroad? O Yes No | | | | |
| | If yes, please describe in detail: | | | | |
| | Do underlying policies cover all these exposures? O Yes O No | | | | |
| | If no, please advise exce | eptions: | | | |
| 17. | AVIATION LIABILITY | | | | |
| | Does the Applicant expe | ect to own, lease or charte | aircraft within the n | ext twelve (12) months? OYes No | |
| | If yes , please provide de | etails: | | | |
| | Are there any of the Insured's products used in any type of aircraft? O Yes No | | | | |
| 18. | ADVERTISING LIABILITY | • | | | |
| | Please describe all radio, television and publishing activities contemplated for the next twelve (12) months: | | | | |
| | Are there any unusual advertising activities, such as contests, exhibits, etc? Yes No | | | | |
| | If yes, please describe: | | | | |
| | Estimated Annual Advertising Expenditure: Advertising Agency: Others: | | | | |
| | To what extent do underlying policies listed cover these exposures? | | | | |
| | | contract with advertising of the Applicant? Yes | | cies' policies been endorsed to include | |
| | If yes , to what extent? _ | | | | |
| | | | | | |







| Is Workers' Compensation Insurance carried in all provinces where the company operates? Yes | ○ No |
|---|------|
| If no, please provide description of employees not covered by Workers' Compensation: | |

| Do underlying policies cover employer's liability in all those provinces where Workers' Compensation |
|--|
| Insurance is not provided? O Yes O No |

If no, please advise exceptions:

20. CONTRACTUAL LIABILITY

19. EMPLOYER'S LIABILITY

Please describe any contractual liability exposures assumed by the Applicant other than the following types of written agreements: Lease of Premises, Easement Agreement, Agreement required by Municipal Ordinance, Railway Sidetrack Agreement or Elevator & Escalator Maintenance Agreement:

21. CARE, CUSTODY AND CONTROL

List all leased real estate properties with values over \$10,000:

| Location | Occupied As | Estimated Value | Limit of Insurance |
|----------|-------------|-----------------|--------------------|
| | | | |
| | | | |
| | | | |

List all other property (i.e. leased equipment, property stored, rolling stock) belonging to others which is in your care, custody or control (value over \$10,000):

| Location | Occupied As | Estimated Value | Limit of Insurance |
|----------|-------------|-----------------|--------------------|
| | | | |
| | | | |
| | | | |





| k | SECTION 3: INSURANCE & LOSS HISTORY INFORMATION | |
|----------|---|--|
| 1. | Previous Insurer: | Policy # |
| | Expiring Premium: | Expiry Date: |
| 2. | Is the above Insurer offering renewal? O Yes No | |
| | If yes, renewing premium: | |
| | If no, please advise why not: | |
| | | |
| 3. | Claims Experience. Describe all liability losses or incidents poworking in his field (include dates and amounts): | iid, or reserved, since the Insured has been |
| 4. | Effective Date: | |
| 5. | Limit of Umbrella/Excess Coverage Required: | |
| | \$1,000,000 \$2,000,000 \$3,000,000 \$5,000 | 0,000 |
| | Other (please specify): | |
| | | |
| k | SECTION 4: OTHER INFORMATION | |
| Ple | ase provide any other information you feel would assist in the | evaluation of your application: |
| | | |







SECTION 5: DECLARATION

It is understood and agreed that the completion of this application shall not be binding either to the proposed insured or to Risk-Can Underwriting Managers until accepted by Risk-Can Underwriting Managers, but that the information contained herein shall be the basis of the contract should a policy be issued.

I declare that the statements made in this application are complete and true to the best of my knowledge. I understand that the Application Form will form part of the insurance policy. I acknowledge that if, at any time of claim, it is discovered that any question in this application is not answered truthfully, accurately and completely, it may result in the non-payment of any claim and/or my coverage will be made null and void.

Your privacy is protected: The insurance coverage you are applying for is provided to you by Risk-Can Underwriting Managers and Risk-Can Underwriting Managers will collect, use and disclose the personal information, which you give, for the purpose of providing you with insurance services. Your information may be disclosed to others in the credit services, investigative and/or insurance fields as necessary to underwrite and administer this insurance and to pay any benefits.

| Applicant's Name (Please print) | Title/Position |
|---------------------------------|-------------------|
| | |
| Signature of Applicant | Date (MM/DD/YYYY) |

| BROKER CONTACT INFORMATION | | | | |
|----------------------------|------------------|--|--|--|
| Agent Name: | Brokerage Name: | | | |
| Email: | Address: | | | |
| Phone: | City / Province: | | | |
| Fax: | Postal Code: | | | |







